Lecture 03
Data Link Layer +
BLE Introduction

CS397/497 – Wireless Protocols for IoT

Branden Ghena – Spring 2024

Materials in collaboration with
Pat Pannuto (UCSD) and Brad Campbell (UVA)

Northwestern

Administrivia

- Hw: Background
  - Goal: brush up on some embedded systems and networks background
  - Due tonight
  - Submit on Gradescope

- Lab: Wireless
  - Goal: familiarize yourself with Wireshark
    - Install it, do some basic scanning, explore a little

  - Submission:
    - Due Tuesday by end-of-day
    - Submit on Gradescope
    - Reminder: not a formal writeup or anything. Just the answers
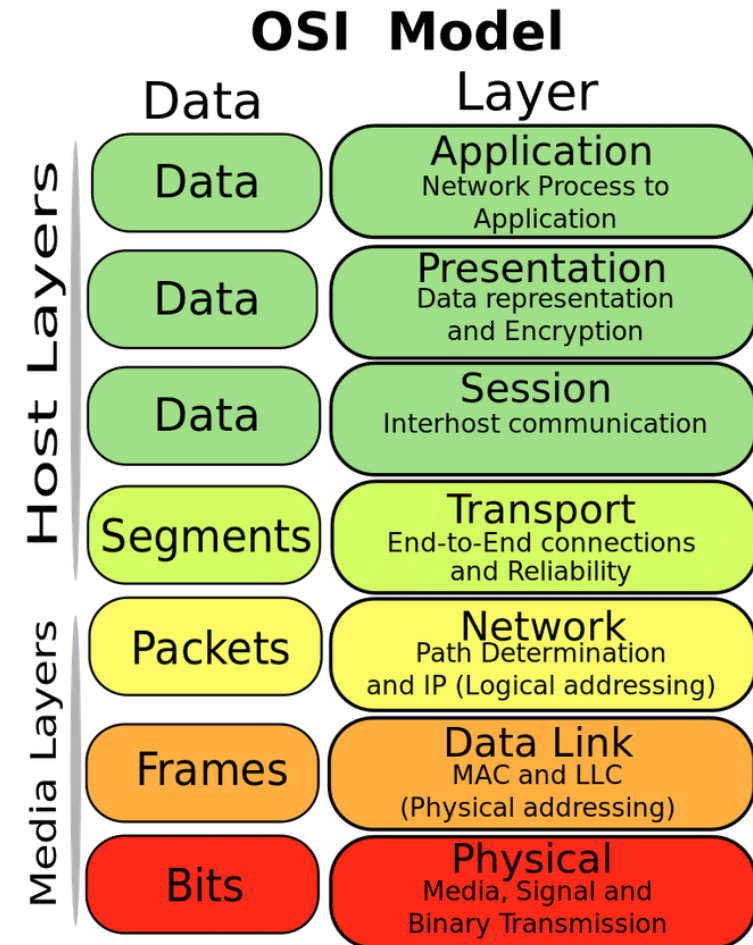
Partnership survey

- Labs (after this first one) will be in groups of exactly three

- I'm trying to
    1. Figure out what the groups are
    2. Match up students who need groups

- Please fill out the survey posted on Piazza

- I'll go through this weekend and match up groups

OSI model of communication layers

- # Transport
  - Sending data between applications
  - TCP and UDP
- # Network
  - Sending data between networked computers
  - IP
- # Data Link
  - Sending collections of bits
  - Ethernet, WiFi
- # Physical
  - Sending individual bits
  - Ethernet, WiFi

Open Systems Interconnection (OSI)

OSI Model

Data	Layer

Host Layers

Data	Application — Network Process to Application
Data	Presentation — Data representation and Encryption
Data	Session — Interhost communication
Segments	Transport — End-to-End connections and Reliability

Media Layers

Packets	Network — Path Determination and IP (Logical addressing)
Frames	Data Link — MAC and LLC (Physical addressing)
Bits	Physical — Media, Signal and Binary Transmission

A programmer's view of the internet

1. Hosts are mapped to a set of 32-bit **IP addresses**
   - 129.105.7.30

2. The set of IP addresses is mapped to a set of identifiers called Internet **domain names**
   - 129.105.7.30 is mapped to moore.wot.eecs.northwestern.edu

3. A process on one Internet host can communicate with a process on another Internet host over a **connection**

How does the Internet handle routing packets?

- IP layer
  - Describes application connection
    - Packets from my computer <---> Google

- Link layer (Ethernet)
  - Describes individual links
    - Packets from my computer <---> my router

- **Routing**
  - Using link-layer building blocks to get packets from one IP to another
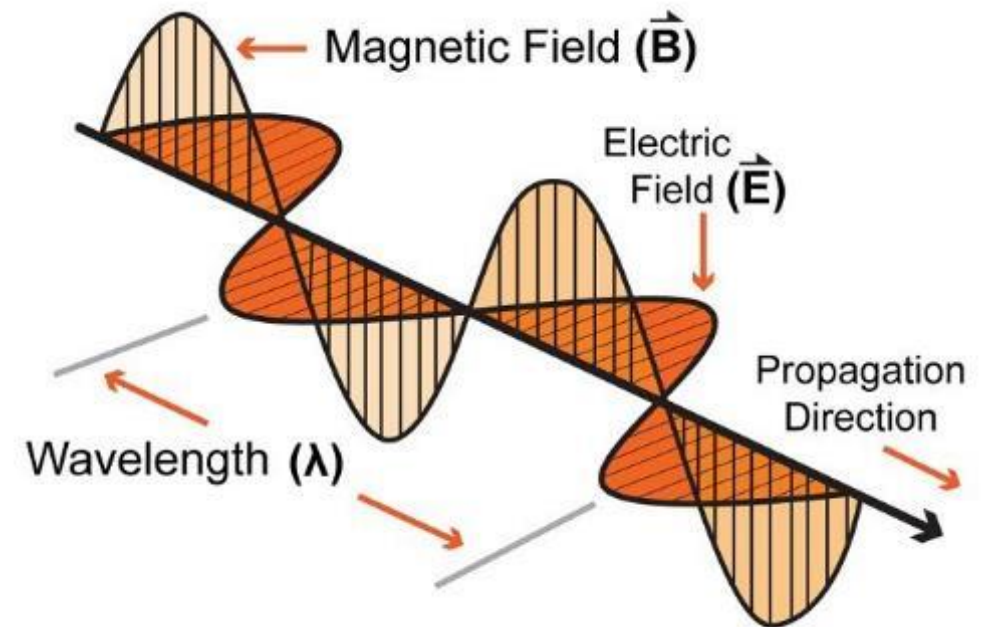
Signal qualities

1. Signal strength
   - The amount of energy transmitted/received

2. Signal frequency and bandwidth
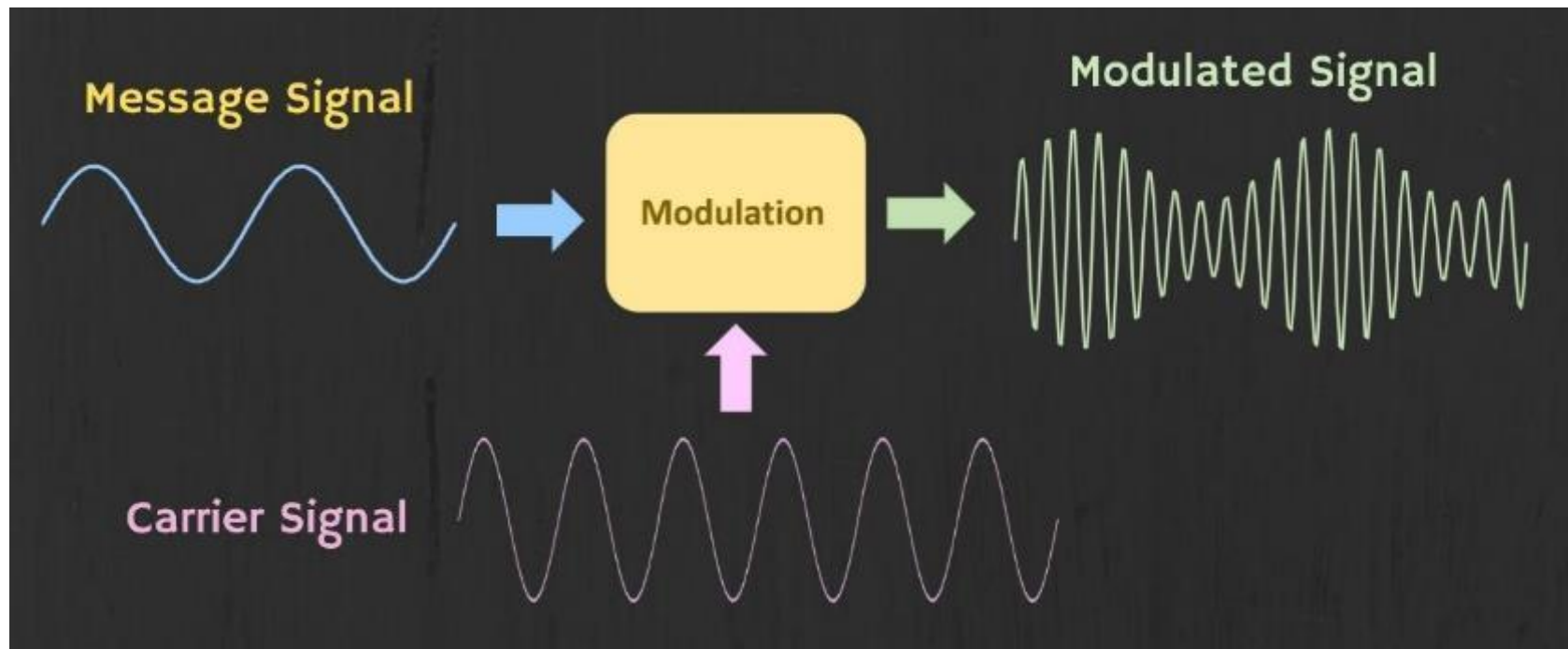   - Which "channel" the signal is sent on

3. Signal modulation
   - How data is encoded in the signal

Modulation

- Encoding signal data in an analog "carrier" signal
    - Carrier signal defines the frequency
    - Modulation scheme + data define bandwidth required
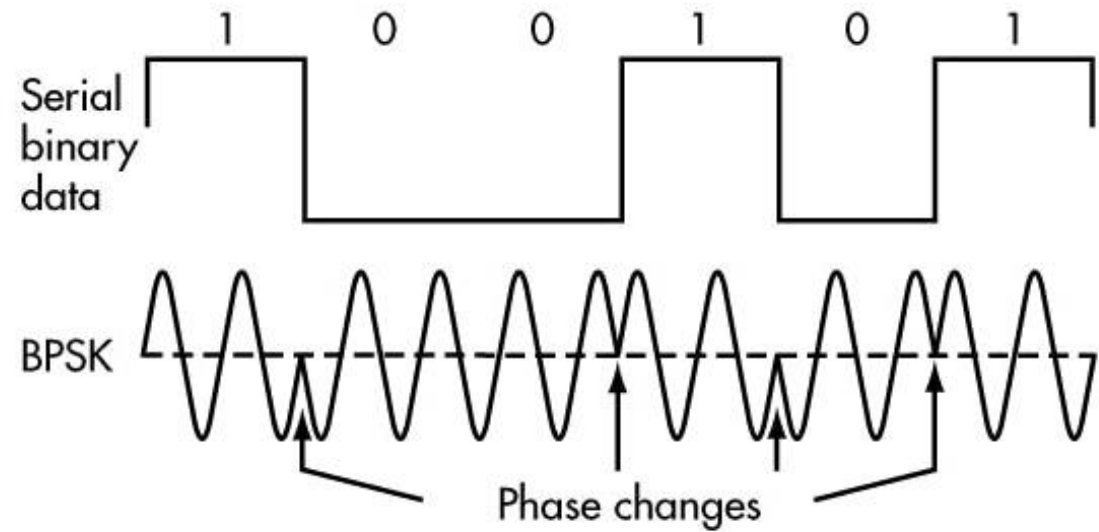
Modulation types

- Encoding binary data on a signal

- Amplitude-shift Keying (ASK)
  - Modify amplitude of carrier signal
  - On-Off Keying (OOK) is an extreme example

- Frequency-shift Keying (FSK)
  - Modify frequency of carrier signal
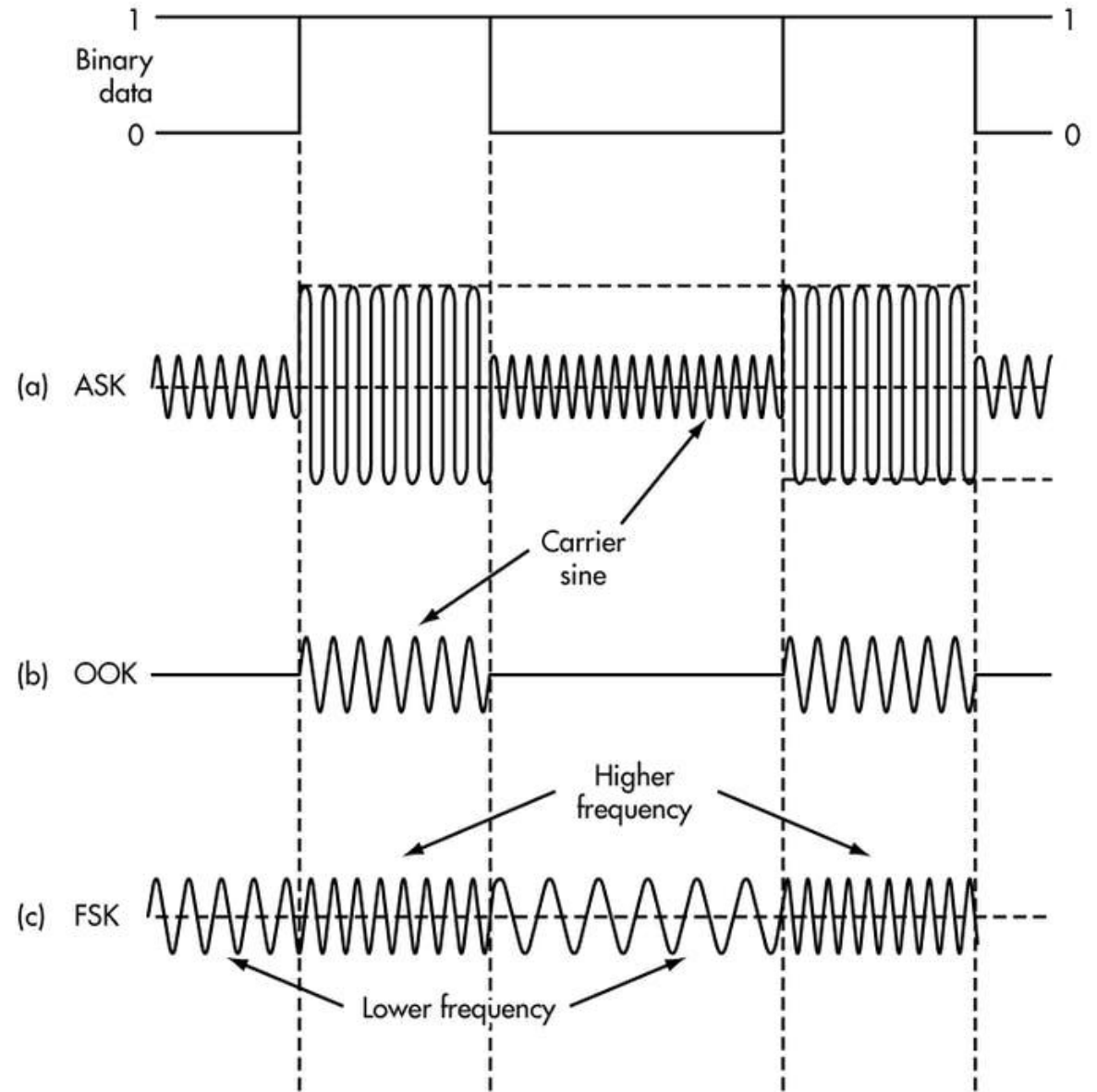
Modulation types

- Phase-shift keying (PSK)
  - Modify phase of carrier signal
  - Usually differential:
    the change signifies data

- More complicated possibilities exist
  - QAM (Quadrature Amplitude Modulation) combines amplitude and phase shift keying
    - Allows for more than one bit per "symbol"

Modulation tradeoffs

- Various tradeoffs between different modulation schemes
  - Bandwidth requirements, transceiver hardware, immunity to noise, etc.

- ASK (amplitude) is simple but susceptible to noise
  - Noise exists in the real world

- FSK (frequency) is relatively simple and robust to noise, but uses more bandwidth
  - Bandwidth is limited, but still commonly used

- PSK (phase) energy efficient and robust, but more complex hardware
  - More expensive hardware, but very commonly used

Today's Goals

- Overview of concerns for the Data link layer
  - Speak the "lingo" of wireless communication
  - Present technology aspects that we will return to in specific protocols

- Describe Medium Access Control mechanisms

- Introduction to Bluetooth Low Energy
  - What are the goals of the protocol?
  - What do the lower layers look like?

Bluetooth Low Energy Resources

- Good walkthrough of BLE:
  - https://www.silabs.com/documents/public/user-guides/ug103-14-fundamentals-ble.pdf

- [5.2 specification] [4.2 specification] (link to PDF download)
  - Also: [Supplement v9]

- I used a mix of 5.2 and 4.2 for this
  - Will talk about BLE 5 differences as part of next lecture

Outline

- **Data Link Layer**

- BLE Background

- BLE Layers
  - Physical Layer
  - Link Layer

Data Link Layer

- Framing
  - Combine arbitrary bits into a "packet" of data

- Logical link control
  - Manage transfer between transmitter and receiver
  - Error detection and correction

- Media access
  - Controlling which device gets to transmit next

- Inherently coupled to PHY and its decisions

Framing

- Typical packet structure
  - Preamble - Existence of packet and synchronization of clocks
  - Header - Addresses, Type, Length
  - Data - Payload plus higher layer headers (e.g. IP packet)
  - Trailer - Padding, CRC

Preamble	Destination Address	Source Address	Type and Length	Data	CRC

- Possible wireless considerations
  - Control information for Physical Layer
  - Ensure robustness for header
  - Explicit multi-hop routing
  - Possibly different data rates for different parts of packet

Error control: detection and recovery

- Detection: only detect errors
  - Make sure corrupted packets get discarded
  - Cyclical Redundancy Checks
    - Detect single bit errors
    - Detect "burst" errors of several contiguous bits

- Recovery: also try to recover from small bit errors
  - Forward error correction
  - Retransmissions
  - Far more important for wireless because the cost of transmission is higher

Medium Access Control

- How does a network determine which transmitter gets to transmit?

- Remember: the wireless medium is inherently broadcast
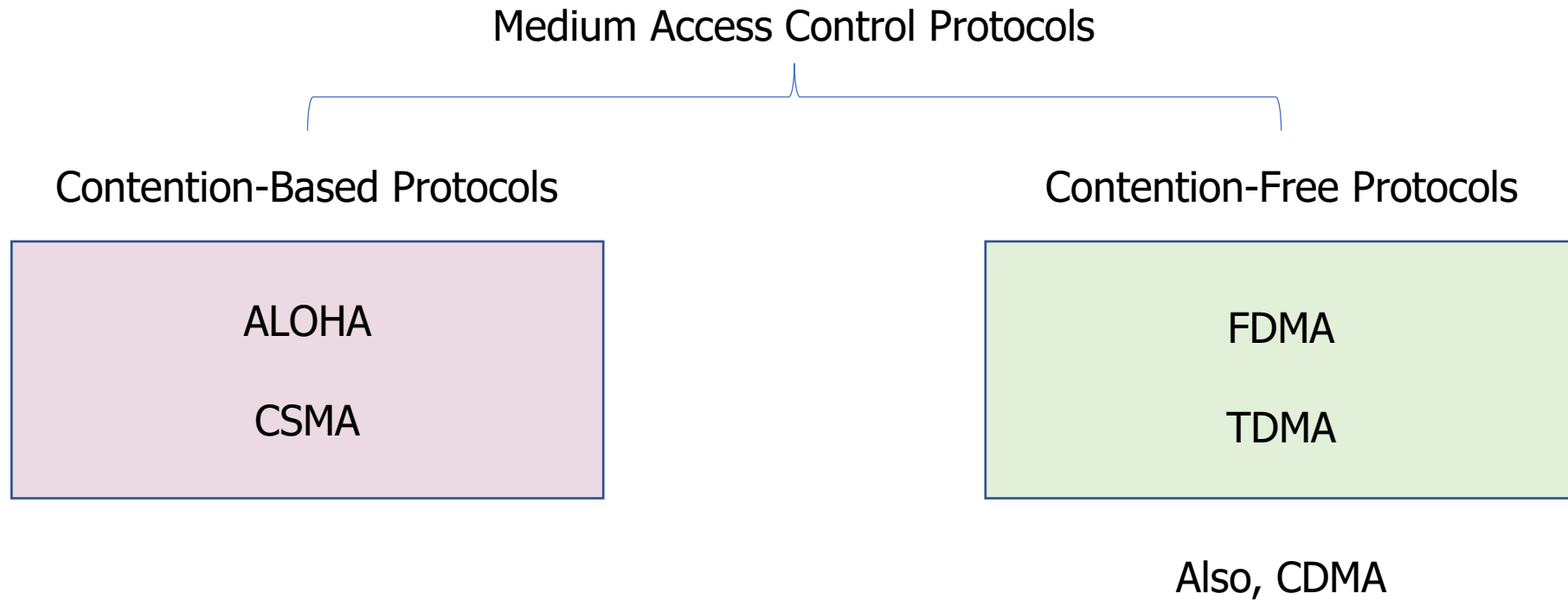 - Two simultaneous transmitters may lose both packets

Analogy: wireless medium as acoustic

- **How do we determine who gets to speak?**
  - Two simultaneous speakers also lose both "transmissions"

- Task: in one minute you will have to start counting from zero
  - One person per number
  - Count quickly
  - You all fail if two people speak at the same time

  - We'll take it in groups
  - After a whole group speaks, they'll explain what strategy they used
  - **Each group must have a unique strategy**
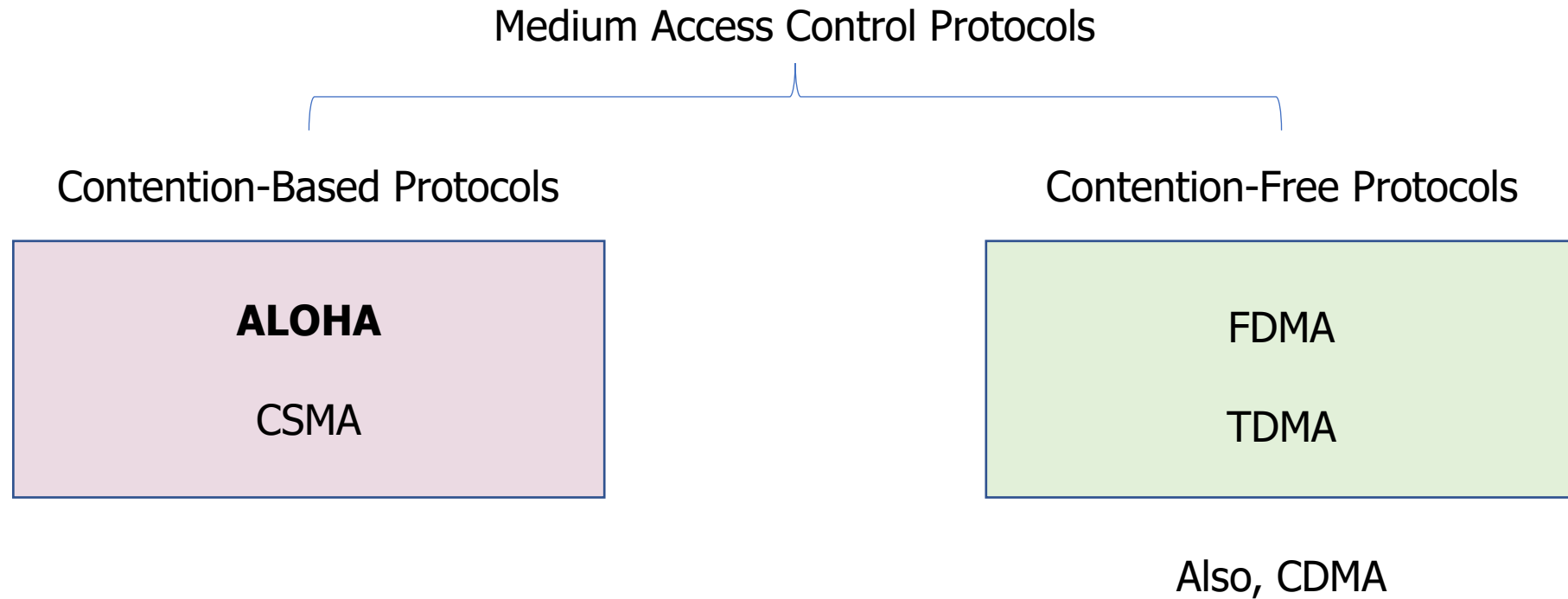   - So be creative! Or have a backup strategy

Analogy: wireless medium as acoustic

- How do we determine who gets to speak?
  - Two simultaneous speakers also lose both "transmissions"

- Eye contact (or raise hand) -> out-of-band communication
- Wait until it's quiet for some time -> carrier sense multiple access
- Strict turn order -> time division multiple access
- Just speak and hope it works -> ALOHA
- Everybody sing at different tones -> frequency division multiple access (stretching the metaphor)
- Everyone speak in different languages -> code division multiple access (sort of, but not really)

- Others?

MAC protocol categorization

Medium Access Control Protocols

Contention-Based Protocols

ALOHA
CSMA

Contention-Free Protocols

FDMA
TDMA

Also, CDMA

MAC protocol categorization

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Also, CDMA

ALOHA

- ALOHAnet (1971)
  - University of Hawaii – Norman Abramson
  - First demonstration of wireless packet network

- Rules
  1. If you have data to send, send it

- Two (or more) simultaneous transmissions will collide and be lost
  - Wait a duration of time for an acknowledgement
  - If transmission was lost, try sending again "later"
    - Want some kind of exponential backoff scheme here

Packet collisions

- Each packet transmission has a window of vulnerability
  - Twice the on-air duration of a packet
 - Transmissions during the packet are bad
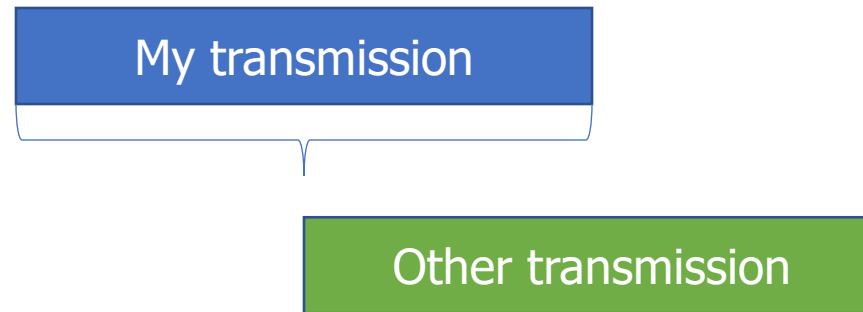

- Transmissions before packet can also be bad
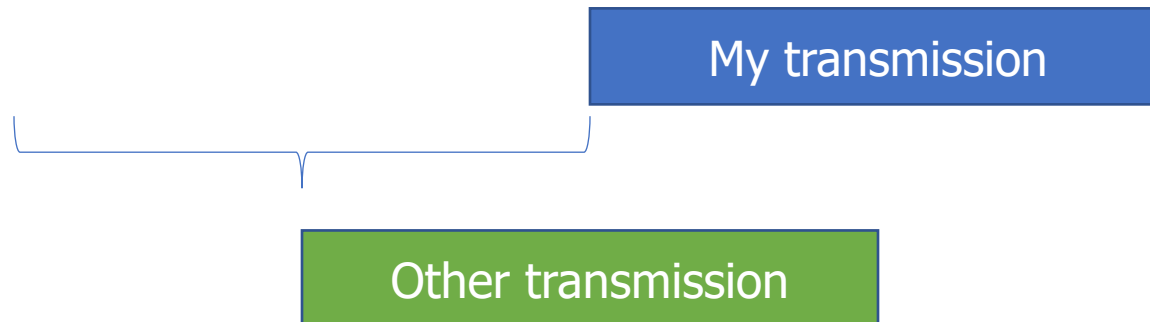
Slotted ALOHA

- Split time into synchronized "slots"

- Any device can transmit whenever it has data
  - But it must transmit at the start of a slot
  - And its transmission cannot be longer than a slot
  - Removes half of the possibilities for collisions!
    - At the cost of some synchronization method

My transmission

My transmission

Other transmission

Other transmission
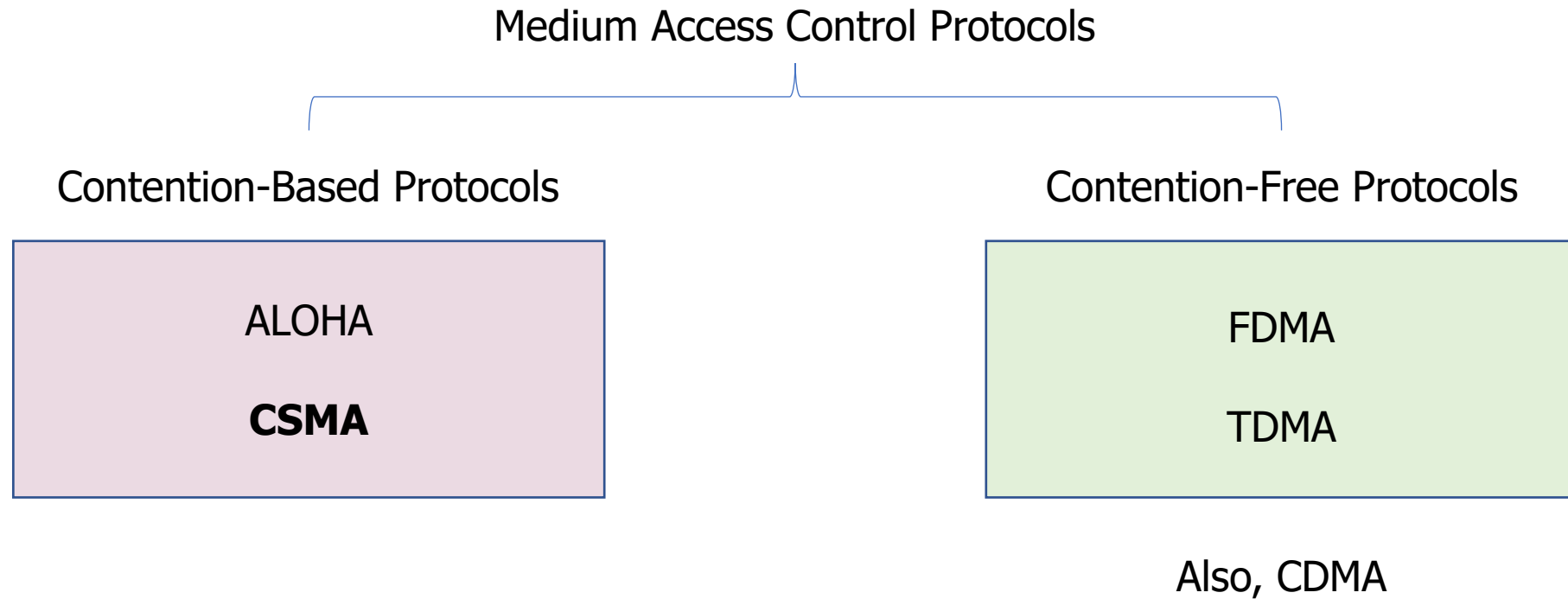
time
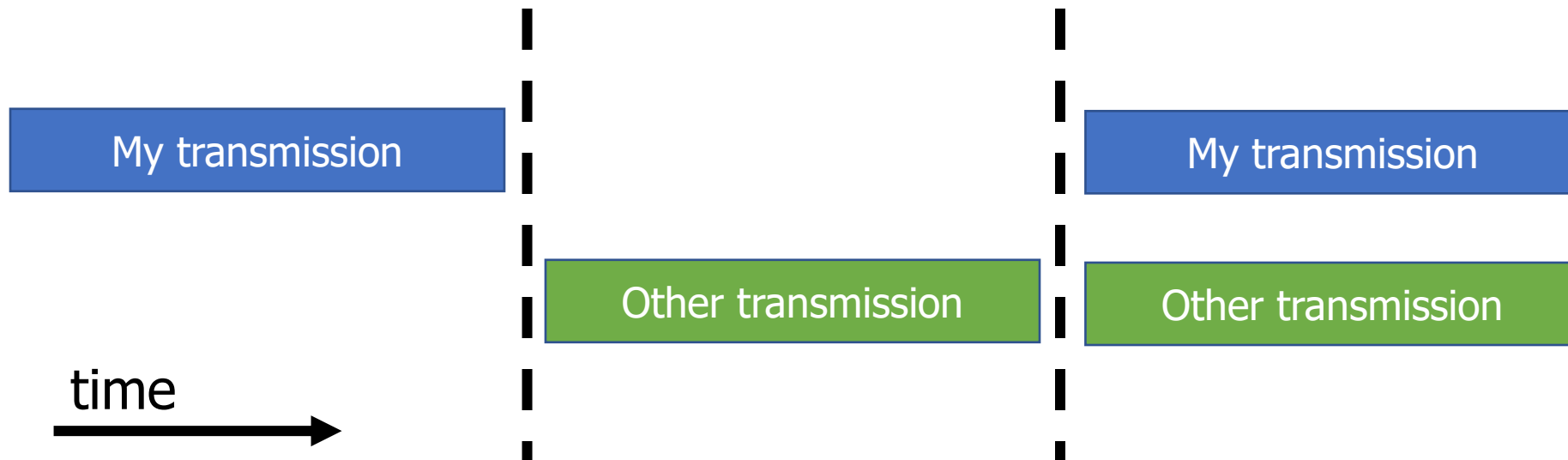
ALOHA throughput

- It can be shown that traffic maxes out at
  - ALOHA: 18.4%
  - Slotted ALOHA: 36.8%

- Assuming Poisson distribution of transmission attempts

- Slotted throughput is double because the "before" collisions can no longer occur
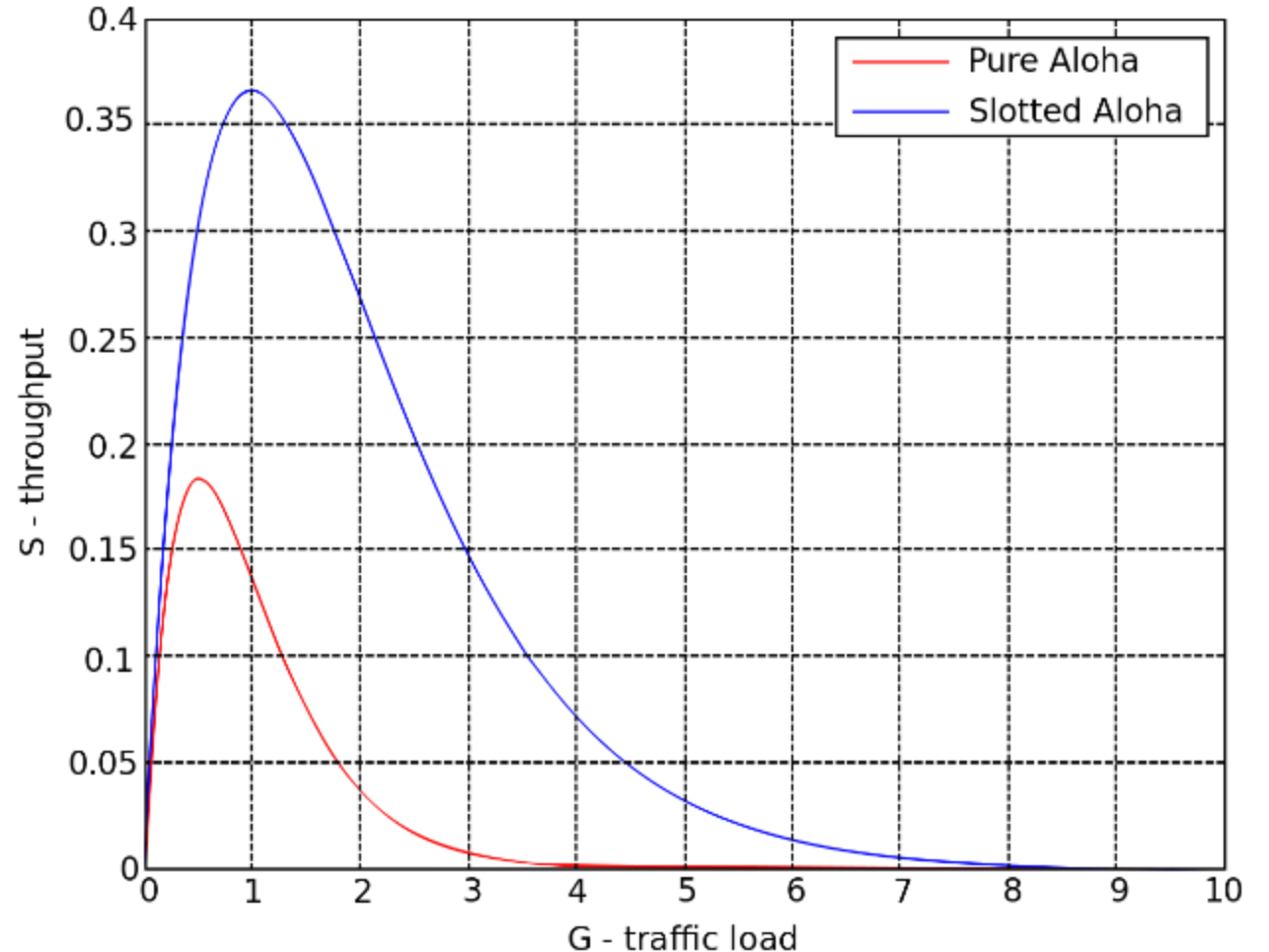
Capture effect

- Actually, two packets at once isn't *always* a total loss
  - The louder packet can still sometimes be heard if loud enough

- How much louder?
  - Ballpark 12-14 dB

- When does this work?
  - Depends on the radio hardware
  - Louder packet first almost always works
  - Louder packet second *sometimes* works

MAC protocol categorization

Medium Access Control Protocols

Contention-Based Protocols

ALOHA

CSMA

Contention-Free Protocols

FDMA

TDMA

Also, CDMA

CSMA/CA – Carrier Sense Multiple Access with Collision Avoidance

- First listen for a duration and determine if anyone is transmitting
  - If idle, you can transmit
  - If busy, wait and try again later

- "listen before send"

- Can be combined with notion of slotting
  - If current slot is idle, transmit in next slot
  - If current slot is busy, follow some algorithm to try again later

CSMA/CD – CSMA with Collision Detection

- Detect collisions during your own transmission
  - Works great on wired mediums (Ethernet, I2C)

- Very challenging for wireless systems
  - Transmit and receive are usually the same antenna
  - Receiving while transmitting would be drowned out by transmission
    - Remember: TX at 8 dBm and RX at -95 dBm

  - Area of active research!

2017 13th Annual Conference on Wireless On-demand Network Systems and Services (WONS)

On the Feasibility of Collision Detection in Full-Duplex 802.11 Radio

Michele Segata, Renato Lo Cigno
Dept. of Information Engineering and Computer Science, University of Trento, Italy
{msegata,locigno}@disi.unitn.it

Abstract—Full-duplex radios are becoming a feasible reality thanks to recent advances in self-interference cancellation. Switching from half- to full-duplex requires a major re-design of many network features and characteristics, including the MAC layer. The literature provides several new proposals or improvements that are applicable in different topologies:

the notion of channel itself becomes blurred, as there [...] intrinsic spatial reuse and stations very far one anoth[...] hidden with respect to stations in between. Still, the pos[...] of detecting collisions and avoid the waste of channel [...] appealing and has only partially been investigated [5].

Throughput Analysis of CSMA With Imperfect Collision Detection in Full Duplex-Enabled WLAN

Megumi Kaneko

Abstract—As an alternative to carrier sense multiple access (CSMA) with collision avoidance in half-duplex wireless local area network (WLAN) that incurs heavy control overhead, full-duplex WLANs enabling wireless collision detection (WCD) by simultaneous carrier sensing and data transmission are gath... Although CSMA with perfect ...hancements, actual perfor... wireless environment, user ...patterns. Hence, we derive ...counting for imperfect WCD, ...that can be expected from ...conventional random access
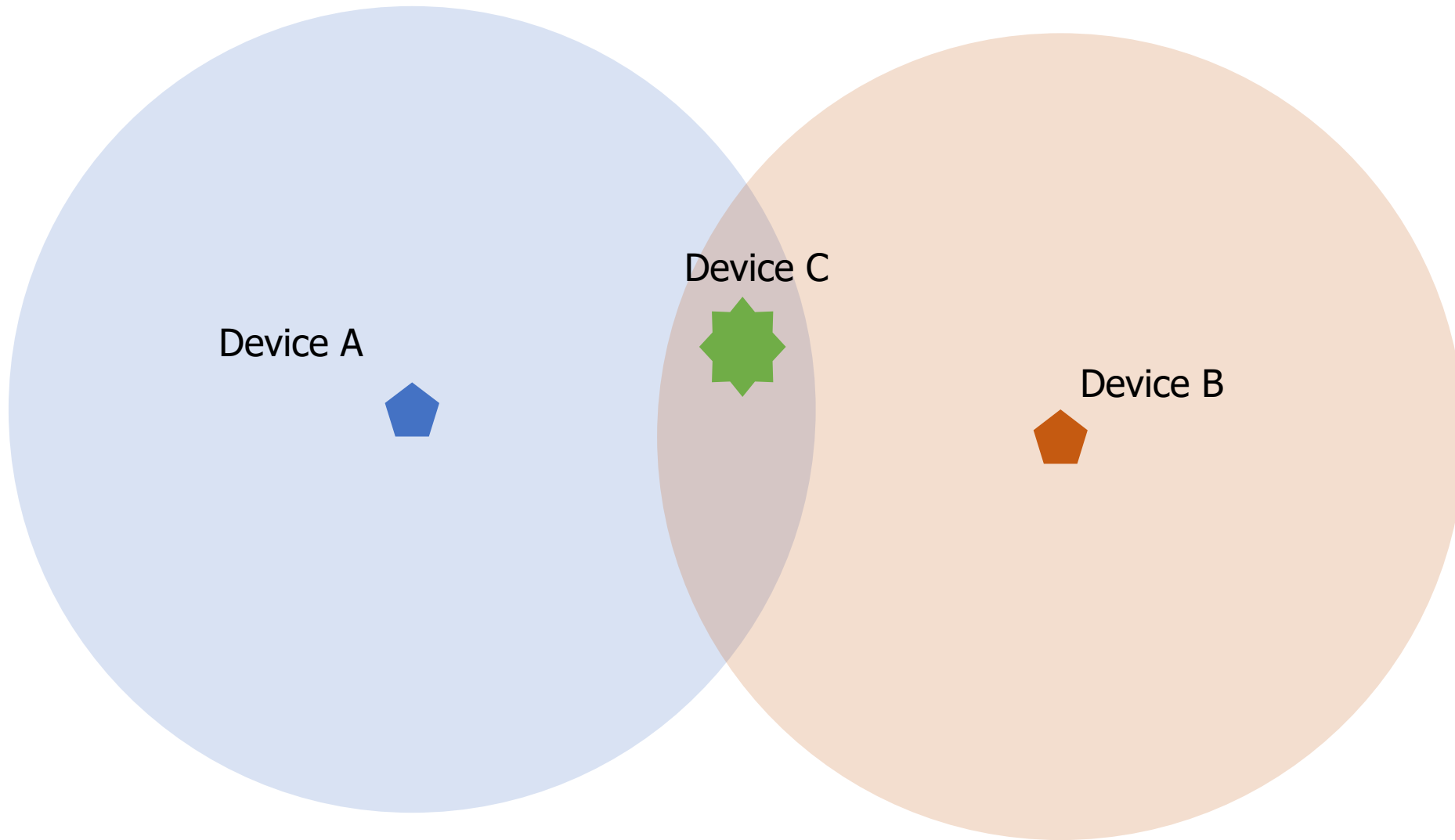
...ltiple access, wireless colli-...N, full duplex.

...TION

...ork (WLAN) systems are ...oblems due to the expo...

main reasons. Firstly, a collision detected at the transmitter does not necessarily imply a collision at the receiver due to the nature of wireless channels such as large/small-scale fading. Secondly, detecting simultaneous transmissions during one's own transmission is very challenging, as the transmitter's self-interference signal power is several orders of magnitudes higher than that of collision signals to be detected.

Thus, a number of PHY layer WCD schemes have been proposed [7], [8]. A MIMO-based scheme is designed in [7] for detecting an interfering preamble signal at one of the transmit antennas, and a self-interference canceller is designed in [8] which enables the transmitter to detect simultaneous transmissions even under very high self-interference. Such schemes allow the UTs to detect potential collisions during transmission, and hence to immediately revert to the retransmission process without any delay, leading to large throughput improvements compared to CSMA/CA [3]. Note that [3] assumed an ideal WCD where any collision can be perfectly detected at the transmitter. In [9], the impact of interference on full-duplex transmitter-receiver pairs in ad-hoc

2014 IEEE 22nd International Conference on Network Protocols

Concise Paper: Semi-Synchronous Channel Access for Full-Duplex Wireless Networks

Xiufeng Xie and Xinyu Zhang
University of Wisconsin-Madison
Email: {xiufeng.xyzhang}@ece.wisc.edu

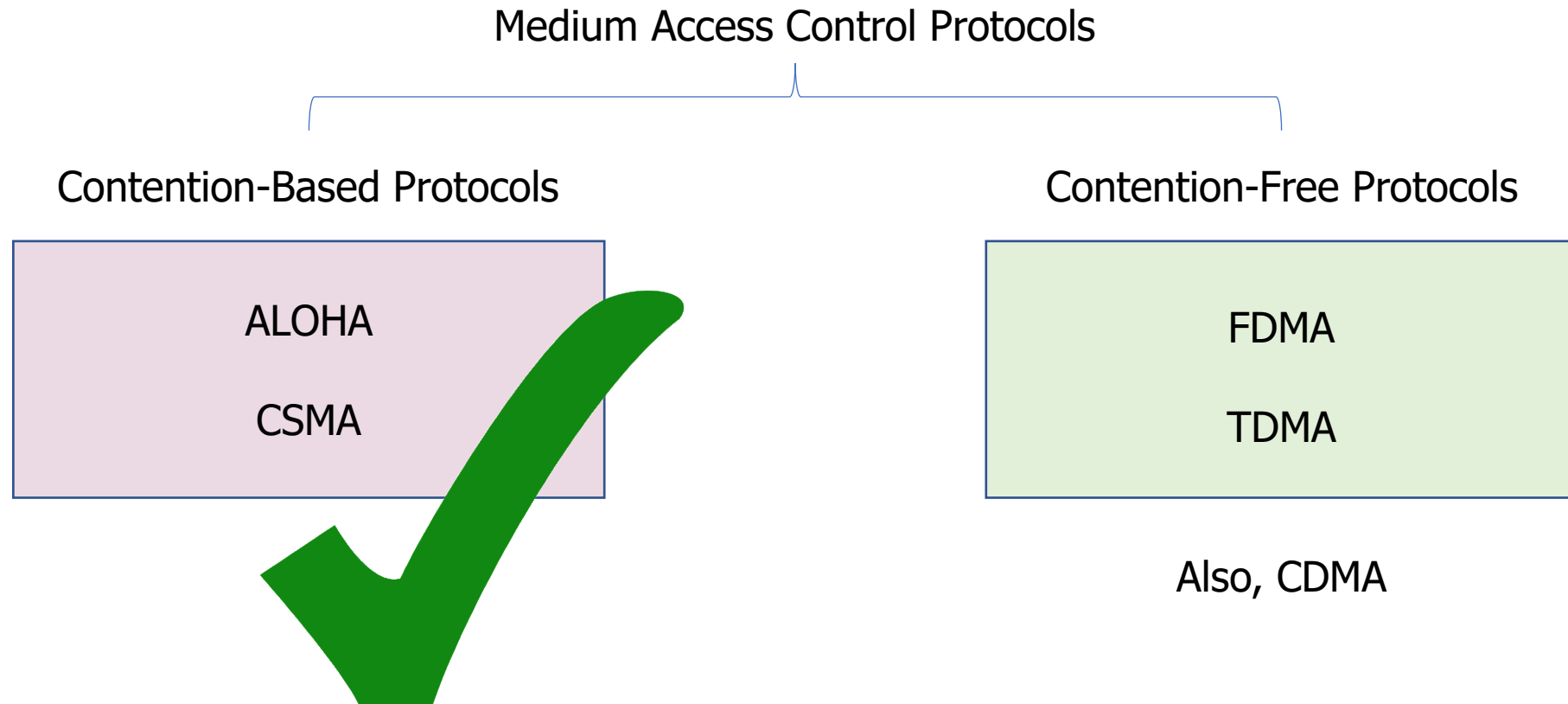# Hidden terminal problem

Device A

Device C

Device B

CSMA with RTS/CTS

- Hidden terminal problem means that two transmitters might never be able to detect each other's transmissions

- A partial solution
  - When channel is idle, transmitter sends a short Request To Send (RTS)
  - Receiver will send a Clear To Send (CTS) to only one node at a time
  - RTS collisions are faster and less wasteful than hidden terminal collisions
  - Downside: overhead is high for waiting for CTS when contention is low
    - Not always worth it

MAC protocol categorization

Medium Access Control Protocols

Contention-Based Protocols

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✔

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Contention-free access control protocols

- Goal: split up communication such that devices will not conflict

- Can be predetermined or reservation-based
  - Devices might request to join the schedule and be given a slot
    - Devices lose their slot if it goes unused for some amount of time
    - Reservations often occur during a dedicated CSMA contention slot
  - Assignment of schedules can be complicated

- Really efficient at creating a high-throughput network
  - Assuming they are all following the same protocol
  - Otherwise, interference can be very problematic

FDMA – Frequency Division Multiple Access

- Split transmissions in frequency
  - Different carrier frequencies are independent
  - Fundamentally how RF spectrum is split
  - Usually in conjunction with another scheme (but not always)

- Technically, each device uses a separate, fixed frequency
  - Walkie-talkies

- Conceptually, how RF channels work
  - WiFi networks pick different bands
  - 802.15.4 picks a channel to communicate on
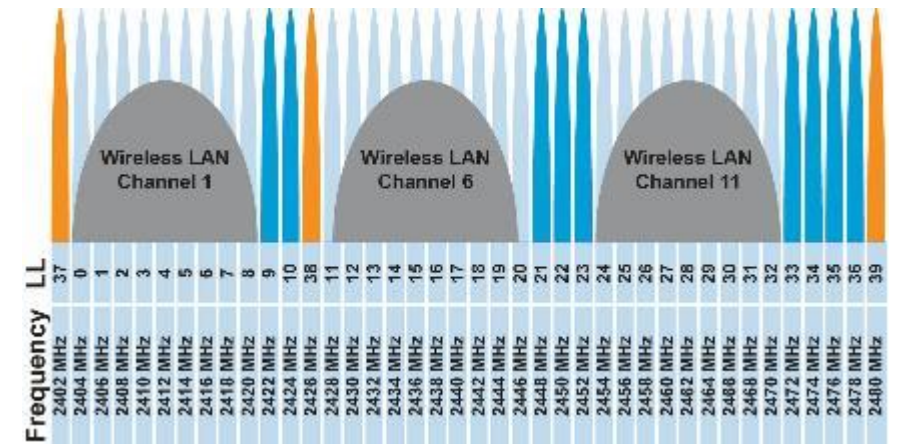
TDMA – Time Division Multiple Access

- Split transmissions in time
  - Devices share the same channel

- Splits time into fixed-length windows
  - Each device is assigned one or more windows
  - Can build a priority system here with uneven split among devices

- Requires synchronization between devices
  - Often devices must listen periodically to resynchronize
  - Less efficient use of slots reduce synchronization
    - Large guard windows. E.g. 1.5 second slot for a 1 second transmission

Break + Question

- What is an advantage of Aloha over TDMA?

Break + Question

- What is an advantage of Aloha over TDMA?

  - Simplicity! It's really easy to implement Aloha

  - No required centralization
    - TDMA time schedule needs to be decided by something
    - That thing is "in charge"
    - Aloha can be entirely distributed

Real-world protocol access control

- ALOHA
  - BLE advertisements
  - Unlicensed LPWANs: Sigfox, LoRaWAN

- CSMA
  - WiFi (slotted, CSMA/CA)

- TDMA
  - BLE connections
  - Cellular LPWANs: LTE-M and NB-IoT

Outline

- Data Link Layer

- **BLE Background**

- BLE Layers
  - Physical Layer
  - Link Layer

Basics of Bluetooth Low Energy (BLE)

- Direct device-to-device communication
  - Usually: Computer to Thing
  - Smartphone to device, Laptop to device, etc.

- Focus on making the "Thing" really low energy
  - Push energy-intensive requirements onto "Computer"

- Devices (Computer or Thing) are servers with accessible fields
  - Not the traditional send-explicit-packets interface you might be expecting
  - Lower layers are still exchanging packets to make it work

A note on outdated notation

- Master/Slave paradigm
  - Master is the "Computer" and is in charge of interaction
  - Slave is the "Device" and has little control over interaction parameters
  - Really common notation in EE side of the world.
    - Not intended to be harmful, but also literally inconsiderate.

- Field is changing for the better. It's going to take some time.
  - **Central/Peripheral**
  - Device/Peripheral
  - Controller/Peripheral
  - Master/Minion
  - Primary/Secondary

BLE development

- Protocol development
  - Research product
  - Specification
  - Hardware support
  - Usefulness and iteration

- Bluetooth Low Energy
  - Research in early 2000s: Bluetooth Low End Extension and Wibree
  - Specification in 2009: Bluetooth version 4.0
  - Hardware support in 2011/12: iPhone 4s, nRF51 series
  - 4.1 and 4.2 (2014), 5.0 (2016, first in phones 2017, really 2019 though)

Bluetooth has a long history — the IoT is near-exclusively BLE (Bluetooth 4.0+) as opposed to Bluetooth Classic (<4.0)

| Year	Bluetooth Standard	Data Rate	Modulation	Notes
1999	V1.0	1 Mb/s	GFSK	• The Bluetooth 1.0 Specification is released by the Bluetooth SIG
2003	V1.2	1 Mb/s	GFSK	• First FDA-approved Bluetooth medical system. Bluetooth product shipments grow to 1 million/week
2004	V2.0 + EDR	1 Mb/s 2 Mb/s 3 Mb/s	GFSK π/4–DQPSK 8-DPSK	• Introduction of Enhanced Data Rate (EDR) for faster data transfer. • Bluetooth product shipments surpasses to 3 million/week
2007	V2.1 + EDR	1 Mb/s 2 Mb/s 3 Mb/s	GFSK π/4–DQPSK 8-DPSK	• Introduction of secure simple pairing (SSP) and extended inquiry response (EIR) for Bluetooth devices
2009	V3.0+HS	1 Mb/s 2 Mb/s 3 Mb/s	GFSK π/4–DQPSK 8-DPSK	• Introduction of AMP (Alternative MAC/PHY) and the addition of 802.11 as a high-speed transport with data transfer speeds up to 24 Mbit/s.

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2010	V4.0 (Smart)	1 Mb/s 2 Mb/s 3 Mb/s	GFSK π/4–DQPSK 8-DPSK	• Introduction of Bluetooth Low Energy protocol and AES encryption
2013	V4.1	1 Mb/s 2 Mb/s 3 Mb/s	GFSK π/4–DQPSK 8-DPSK	• MWS (Mobile Wireless Standard) Coexistence • SIG membership surpasses 20,000 companies
2014	V4.2	1Mb/s 2Mb/s 3Mb/s	GFSK π/4–DQPSK 8-DPSK	• Smart sensor allows flexible internet connectivity • Increased privacy (Le Privacy 1.2 and LE Secure Connections) • LE Data Length Extension increases data throughput with packet capacity increase of 10x compared to previous versions.

Bluetooth Specification

- Problem: a bit overwhelming…
  - 5.2 spec: **3256 pages**
  - We only care about Vol 6: Low Energy Controller
    - Part A: Physical Layer Specification
    - Part B: Link Layer Specification
    - CSS: Part A: Data Types Specification
    - So ~250 pages

- Tip: be willing to just ignore things when skimming specs
  - 5.2 spec covers BLE and Bluetooth Classic and a bunch of upper layer stuff that we never have to care about

BLE Layers

- Host – Configuration and Server
  - GAP – Generic Access Profile
    - Configure advertising
  - GATT – Generic ATTribute profile
    - Configure connections

- HCI - Host Controller Interface (sigh)

- Controller - Communication
  - Link Layer – send packets
  - RF and PHY – send bits

Application

Host
- GAP
- GATT
- SM
- ATT
- L2CAP

HCI

Controller
- Link Layer
- RF and PHY
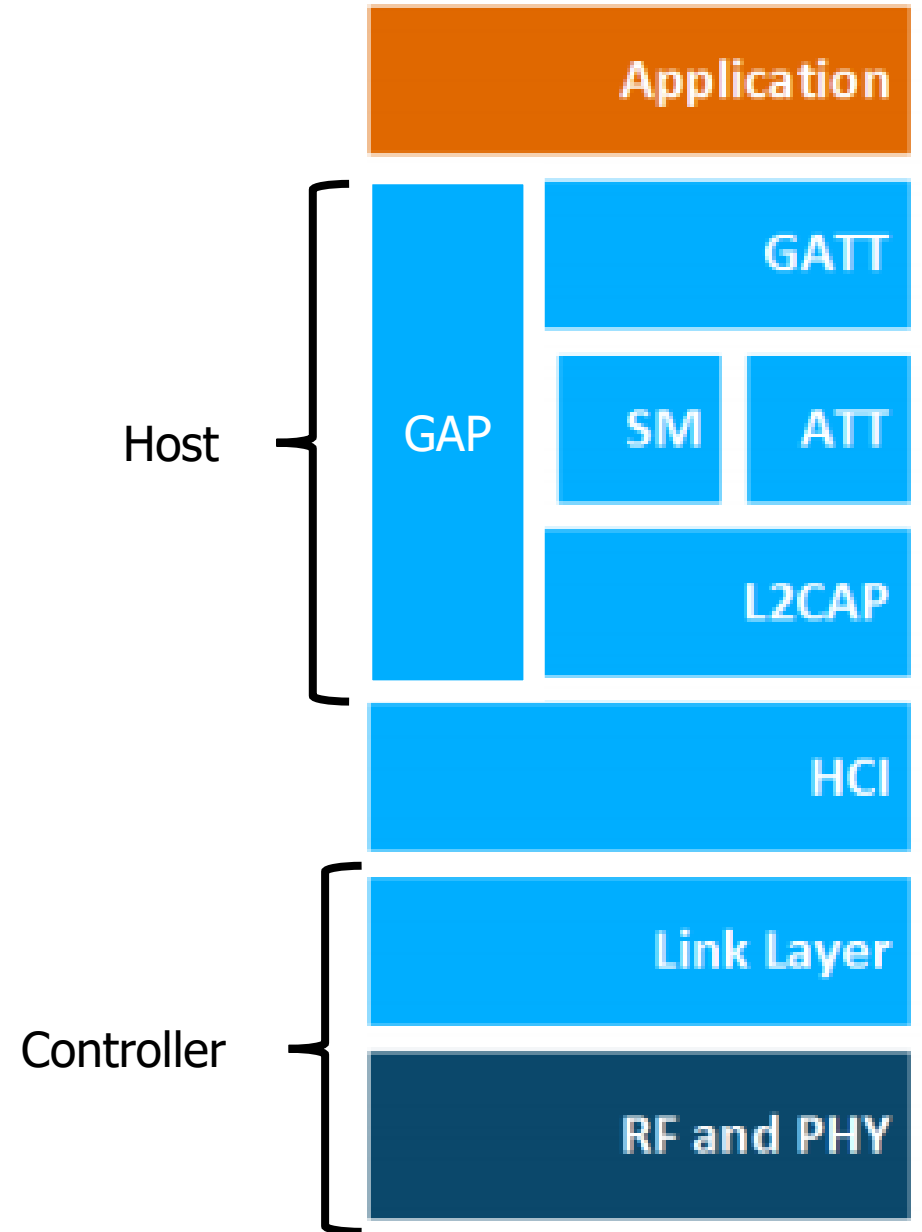
BLE mechanisms

- Advertising
  - Discovery
  - Advertisements – broadcast messages indicating device details
  - Ephemeral, uni-directional communication from Advertiser to Scanner(s)
  - ALOHA access control

- Connections
  - Interaction
  - Bi-directional communication between Peripheral and Central
  - Maintained for some duration
  - TDMA access control

BLE network topology
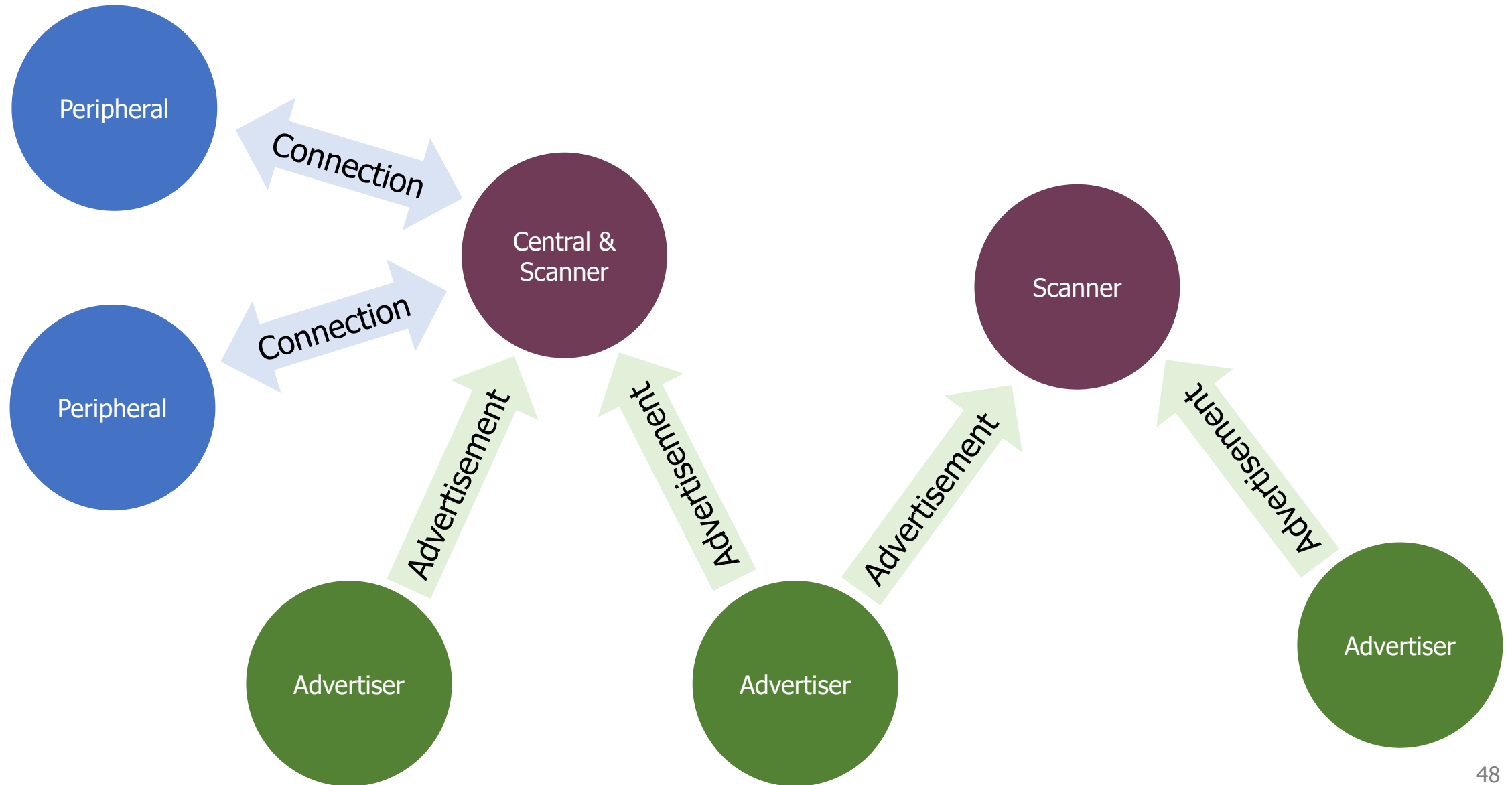
Multiple roles at the same time

- Topology picture is a simplification of roles

- A single device can have multiple roles simultaneously
  - Scanning and Advertising simultaneously
  - Peripheral and Scanner and Advertiser simultaneously
  - Peripheral and Scanner and Central and Advertiser simultaneously
    - Getting a bit out of hand though

- One Peripheral can even be connected to multiple Centrals
  - Not in the original design though: you'll find old docs saying you can't
  - And it's not a normal use-case for BLE

Break + Check your understanding

- Which roles is each device likely to have?
    - Keyboard

    - Laptop

    - Smartphone

Break + Check your understanding

- Which roles is each device likely to have?
  - Keyboard
    - Advertiser and Peripheral

  - Laptop
    - Scanner and Central

  - Smartphone
    - Advertiser, Peripheral, Scanner, and Central

Outline

- Data Link Layer

- BLE Background

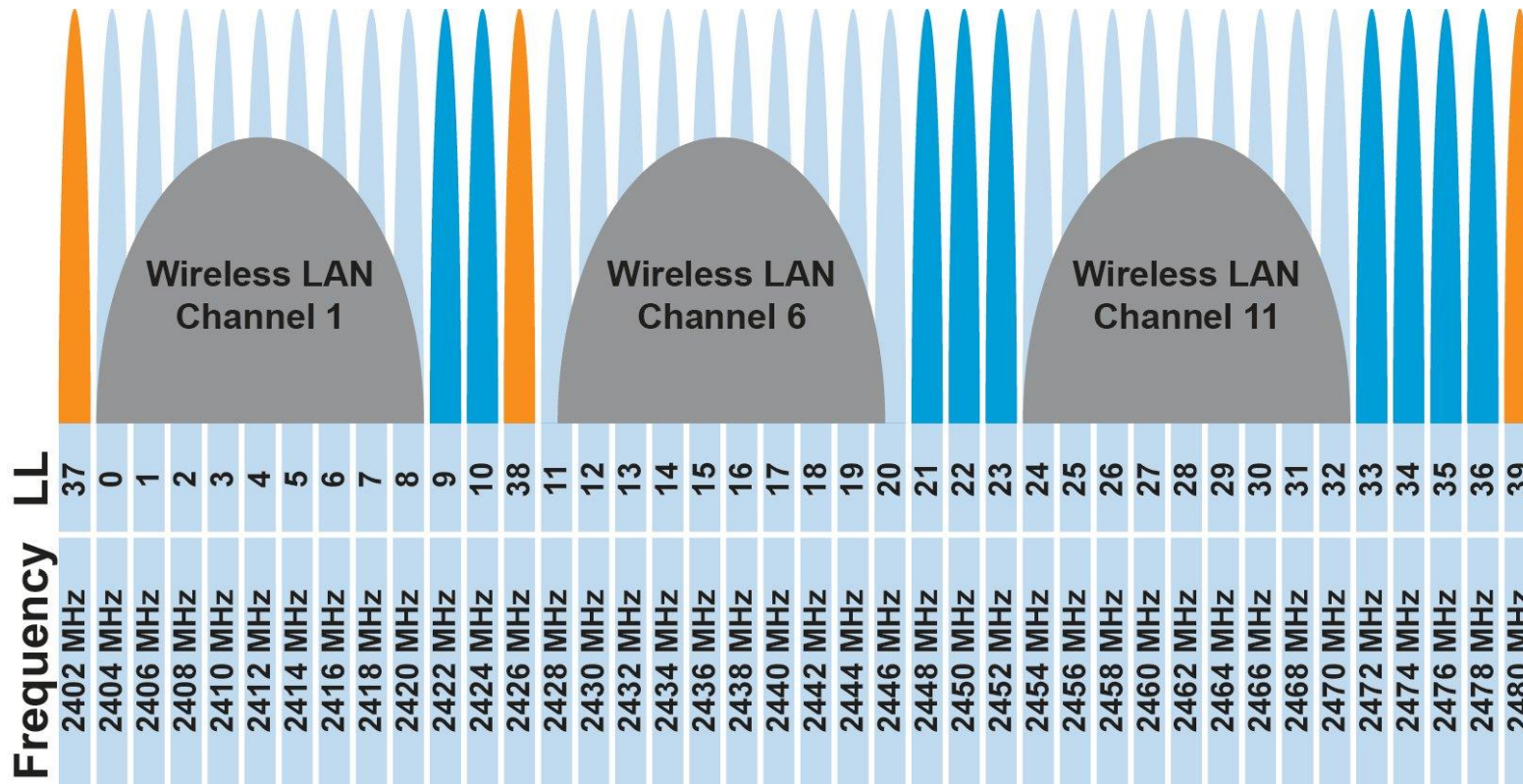- **BLE Layers**
  - **Physical Layer**
  - Link Layer

BLE frequency

- 2.4 GHz carrier, Forty 2-MHz channels, 1 Mbps data rate
 - 37, 38, 39 for advertising
  - 0-36 for connection (FHSS)
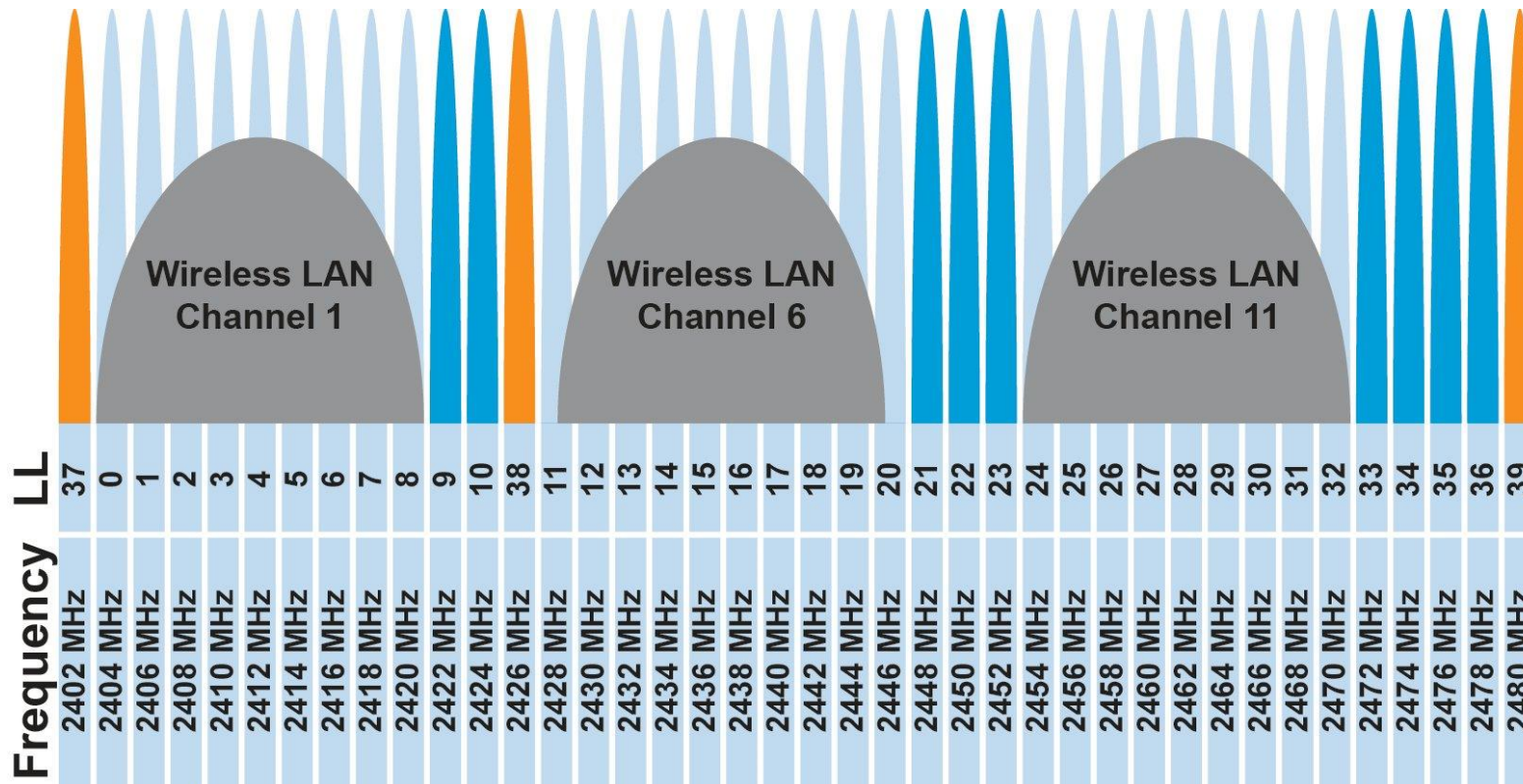
Why doesn't BLE avoid WiFi altogether?

BLE frequency

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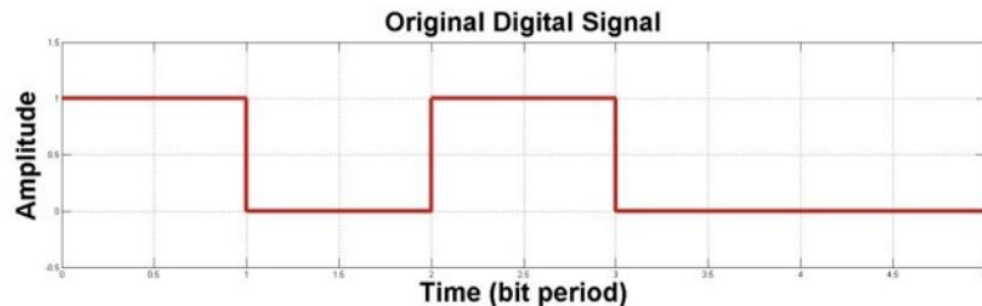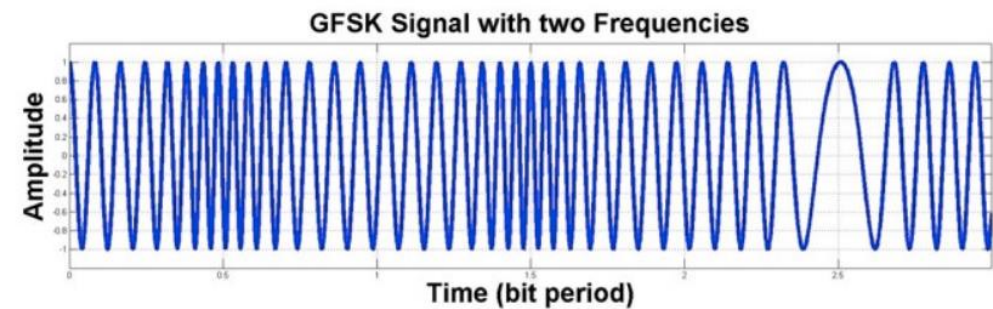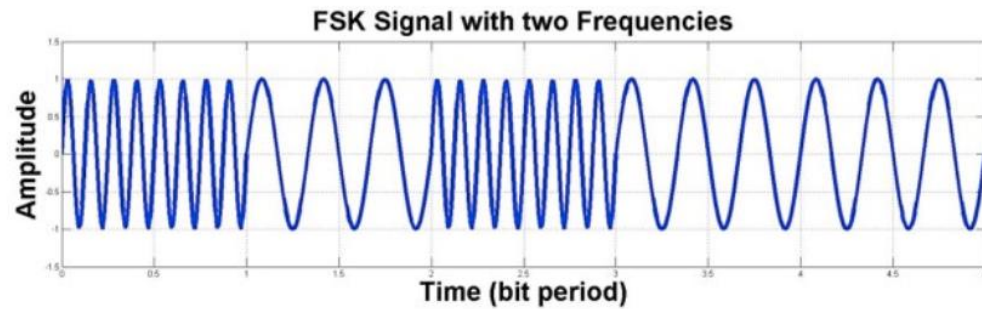   - 0-36 for connection (FHSS)

Why doesn't BLE avoid WiFi altogether?

Can't on 2.4 GHz

**Wants 2.4 GHz for technology improvements**

BLE modulation

- Gaussian Frequency-Shift Keying (GFSK)
  - Improvement on base Frequency-shift Keying
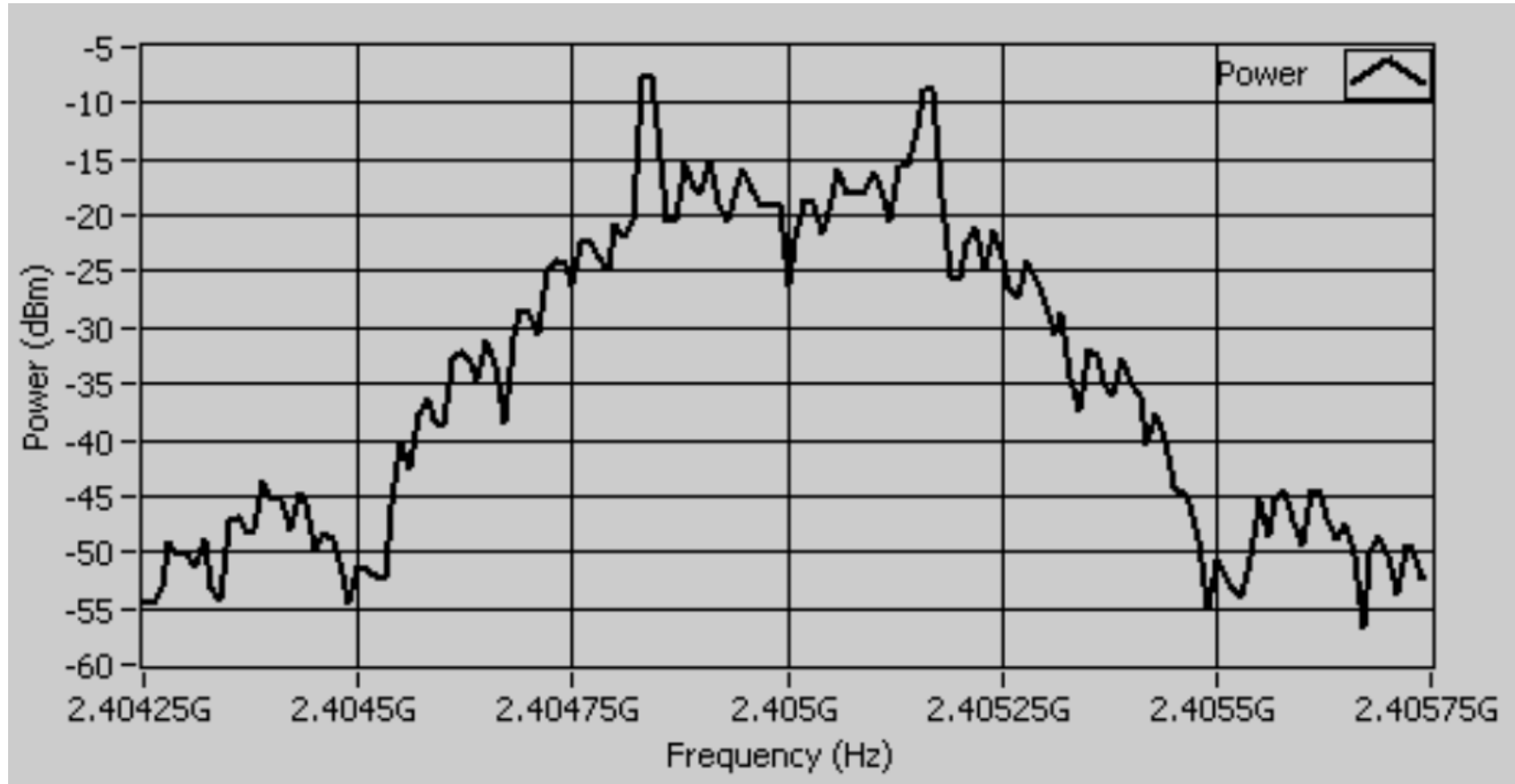 - Smoother transitions between bits -> reduces nearby interference
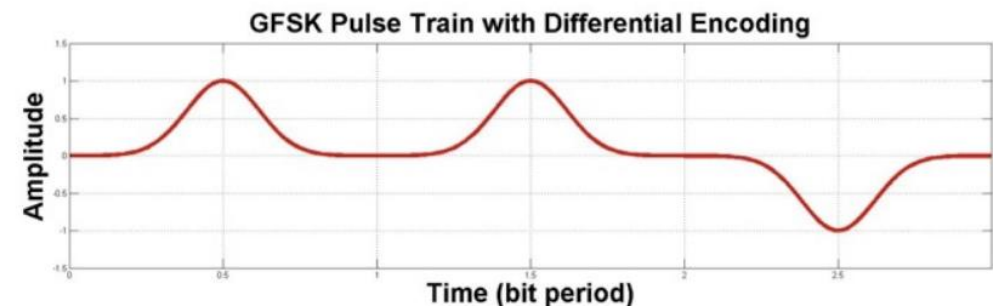
Why use GFSK?

- Gaussian FSK lessens spectral leakage at the expense of some loss in intersymbol discriminability

- Translation: GFSK reduces bandwidth at the cost of bit errors
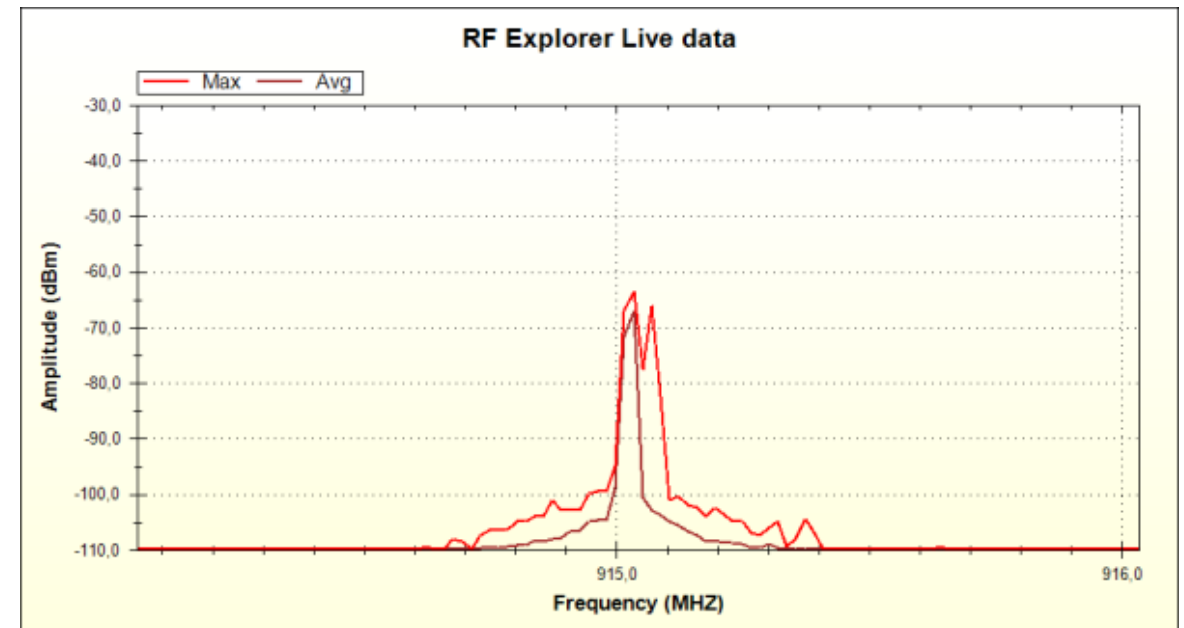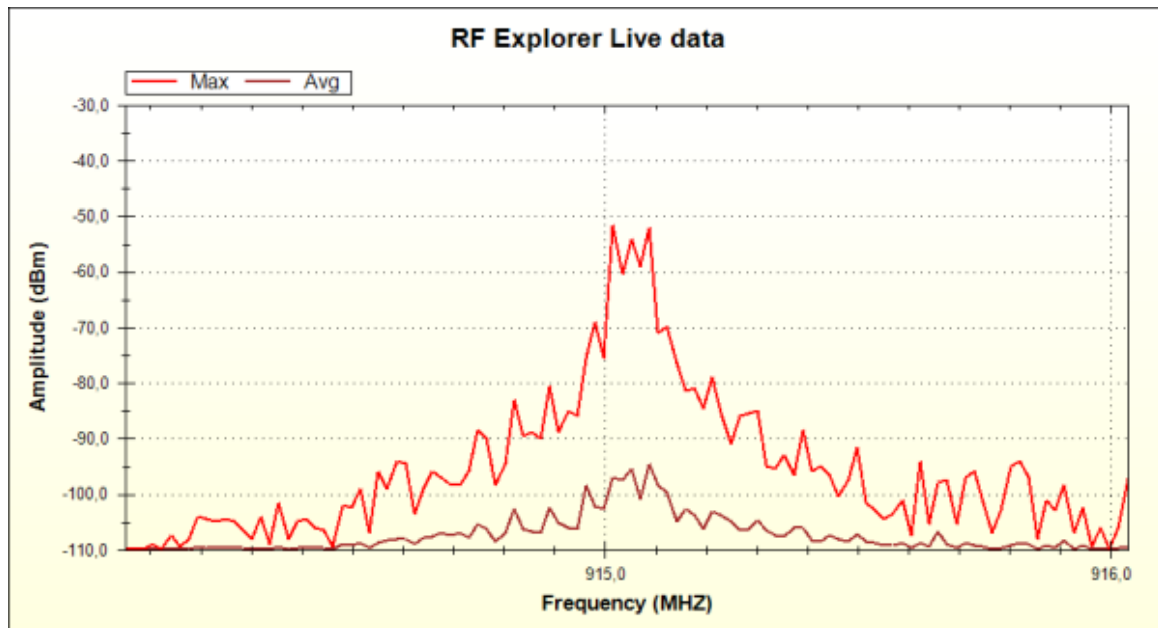
An example from `good case` BLE hardware

BLE signal strength

The requirements for a Bluetooth low energy radio are as follows:

Feature	Value
Minimum TX power	0.01 mW (-20 dBm)
Maximum TX power	100 mW (20 dBm)
Minimum RX sensitivity	-70 dBm (BER 0.1%)

The typical range for Bluetooth low energy radios is as follows:

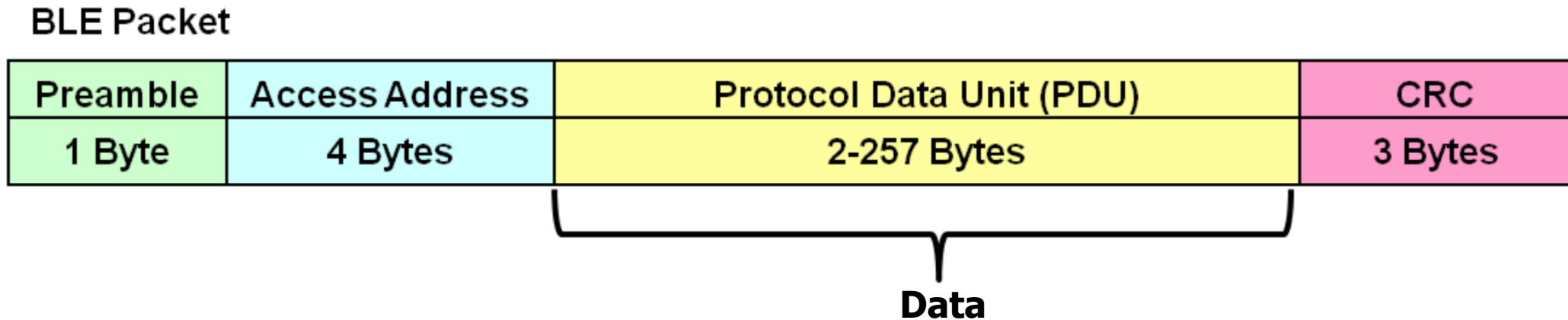| TX power	RX sensitivity	Antenna gain	Range
0 dBm	-92 dBm	-5 dB	160 meters
10 dBm	-92 dBm	-5 dB	295 meters

The range to a smart phone is typically 0-50 meters due to limited RF performance of the phones.

- Remember nRF52840 capabilities
  - Transmit: up to 8 dBm
  - Receive sensitivity: -95 dBm

Outline

- Data Link Layer

- BLE Background

- **BLE Layers**
  - Physical Layer
  - **Link Layer**

Packet structure

BLE Packet

Preamble	Access Address	Protocol Data Unit (PDU)	CRC
1 Byte	4 Bytes	2-257 Bytes	3 Bytes

Data

- Same packet structure for both advertisements and connections
  - Fields are filled in little endian (the opposite of network byte order 😡)

- Access address unique for each connection (randomly chosen)
  - In Advertising always set to 0x8E89BED6

Device addresses

- Public and private address forms

- Public
  - 48 bits: 24-bits of company ID, 24-bits of company assigned number
  - Literally the same MAC address scheme as Ethernet and WiFi

- Private
  - Top two MSbs specify type
    - 46 bits of random
    - 46 bits of hash of an identity key

- **Why have the two types?**

Device addresses

- Public and private address forms
- Public
  - 48 bits: 24-bits of company ID, 24-bits of company assigned number
  - Literally the same MAC address scheme as Ethernet and WiFi
- Private
  - Top two MSbs specify type
    - 46 bits of random
    - 46 bits of hash of an identity key

- **Why have the two types?   Privacy**

Data whitening

- Avoid long series of repetitive bits (all zeros or all ones)
    - Would cause RF noise to be more focused in one direction
    - Radio hardware desires output to have zero DC-bias (or close to that)
    - Great example of the PHY and MAC being interwoven in wireless
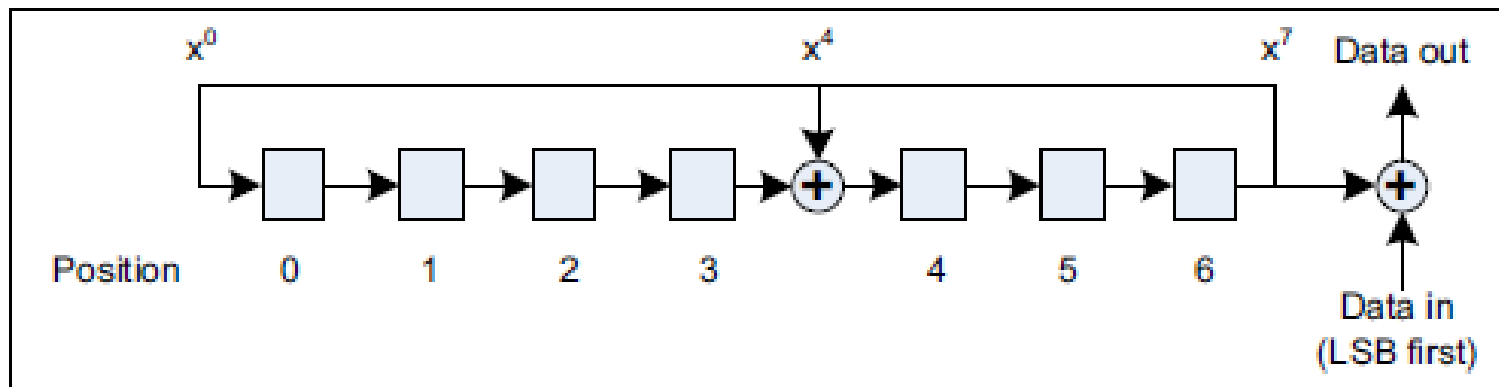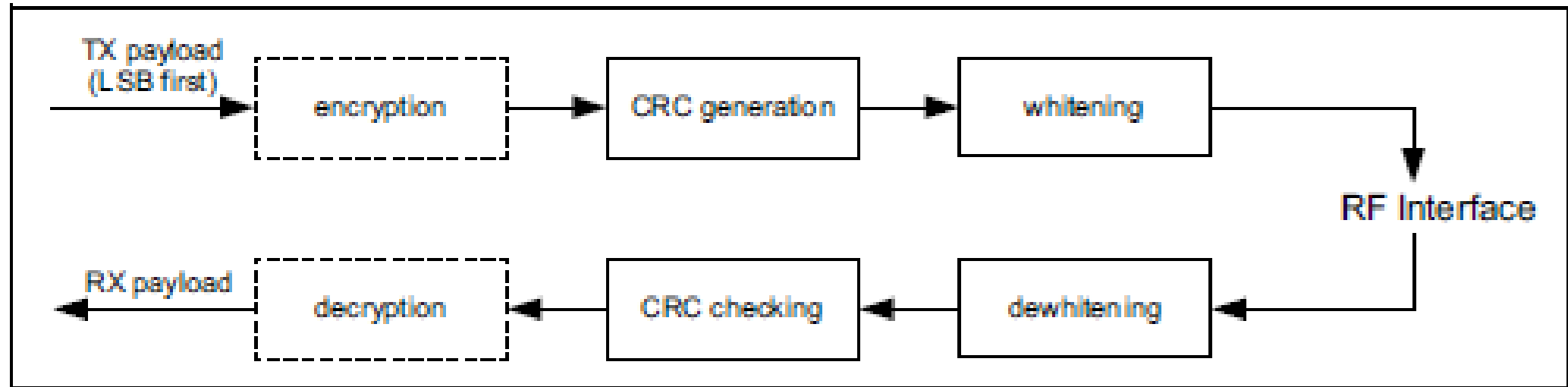

Figure 3.3: The LFSR circuit to generate data whitening

- I always forget this exists, since hardware usually handles it automatically

Bit processing pipeline

Figure 3.1: Payload bit processes for the LE Uncoded PHYs

Break + Question

- With enough scanners, could you track BLE devices as they move?

Break + Question

- With enough scanners, could you track BLE devices as they move?

  - Link Layer
    - Depends on how long they use a device address for
    - You can do a scan of BLE transmissions to find device addresses
    - Scans at multiple locations can detect when a device moves throughout an area
    - But if the device re-randomizes between two scanners, you can't follow it anymore
      - Re-randomizing at a scanner could be detectable…
      - Or if the user has more than one device with unsynchronized rotation schedules

Break + Question

- With enough scanners, could you track BLE devices as they move?

  - Physical Layer
    - Fingerprint unique physical-layer imperfections in signals
    - Looking at things like amplitude and timing
    - 2022 paper out of UCSD explores this

Evaluating Physical-Layer BLE Location Tracking Attacks on Mobile Devices

Hadi Givehchian*, Nishant Bhaskar*, Eliana Rodriguez Herrera, Héctor Rodrigo López Soto,
Christian Dameff, Dinesh Bharadia, and Aaron Schulman

UC San Diego

Abstract—Mobile devices increasingly function as wireless tracking beacons. Using the Bluetooth Low Energy (BLE) protocol, mobile devices such as smartphones and smartwatches continuously transmit beacons to inform passive listeners about device locations for applications such as digital contact tracing for COVID-19, and even finding lost devices. These applications

countermeasures by fingerprinting the device at a lower layer. Specifically, prior work has demonstrated that wireless transmitters have imperfections introduced in manufacturing that produce a unique physical-layer fingerprint for that device (e.g., Carrier Frequency Offset and I/Q Offset). Physical-layer

Outline

- Data Link Layer

- BLE Background

- BLE Layers
  - Physical Layer
  - Link Layer